PRIOR ART DATABASE SEARCH GUIDE

This guide includes an overview of the IP.com Prior Art Database search facility, including syntax, available Boolean and position operators, and functions such as wildcards and numeric ranging. The Prior Art Database search performs a traditional text-based query directed at the non-patent, defensive publications stored in the IP.com Prior Art Database. The underlying keyword index used supports all languages. Disclosures published into the Prior Art Database become available in this index shortly upon completion of processing. You may print a copy of this paper for your personal reference.
Syntax Overview

A search request consists of a group of words or phrases linked by connectors such as and and or that indicate the relationship between them. Examples:

<table>
<thead>
<tr>
<th>Query</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Apple and pear</td>
<td>Both words must be present</td>
</tr>
<tr>
<td>Apple or pear</td>
<td>Either word can be present</td>
</tr>
<tr>
<td>Apple w/ 5 pear</td>
<td>Apple must occur within 5 words of pear</td>
</tr>
<tr>
<td>Apple not w/ 5 pear</td>
<td>Apple must not occur within 5 words of pear</td>
</tr>
<tr>
<td>Apple and not pear</td>
<td>Only apple must be present</td>
</tr>
<tr>
<td>Name contains smith</td>
<td>The field name must contain smith</td>
</tr>
</tbody>
</table>

If you use more than one connector, you should use parentheses to indicate precisely what you want to search for. For example, apple and pear or orange could mean (apple and pear) or orange, or it could mean apple and (pear or orange).

Search terms may include the following special characters:

- ? Matches any single character
- * Matches any number of characters
- % Fuzzy search
- # Phonic search
- ^ Stemming
- ~ Inclusive numeric range
- : Variable term weighting

<table>
<thead>
<tr>
<th>Character</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>appl?</td>
<td>matches apply or apple</td>
</tr>
<tr>
<td>appl*</td>
<td>matches apply, application, or apples</td>
</tr>
<tr>
<td>ba%nana</td>
<td>matches banana, bananna</td>
</tr>
<tr>
<td>#smith</td>
<td>matches smith, smythe</td>
</tr>
<tr>
<td>apply~</td>
<td>matches apply, applies, applied</td>
</tr>
<tr>
<td>12~24</td>
<td>matches 18</td>
</tr>
<tr>
<td>apple:4</td>
<td>w/5 pear:1</td>
</tr>
</tbody>
</table>
Words and Phrases

You do not need to use any special punctuation or commands to search for a phrase. Simply enter the phrase the way it ordinarily appears. You can use a phrase anywhere in a search request. Example:

apple w/5 fruit salad

Punctuation inside of a search word is treated as a space. Thus, can’t would be treated as a phrase consisting of two words: can and t. 1843(c)(8)(ii) would become 1843 c 8 ii (four words).

Note that all words, regardless of their “semantic value,” are indexed. This includes words that are sometimes considered “noise,” such as the, a, or of. Therefore, searching for the theory of relativity is likely to return better results when expressed as theory w/2 relativity.

Using the * wildcard character near the beginning of a word may noticeably slow searches.
Special Characters (% # and ~)

If your search contains any of the following special characters, they may impact your search results: % # ~.

These special characters perform the following functions:

- %: Fuzzy searching
- #: Phonic searching
- ~: Stemming

It is recommended that you omit these special characters from your search queries if you do not want them impacting your results by performing the functions outlined above.
Numeric Range Searching

A numeric range search is a search for any numbers that fall within a range. To add a numeric range component to a search request, enter the upper and lower bounds of the search separated by `~~` like this:

apple w/5 12~~17

This request would find any document containing apple within 5 words of a number between 12 and 17. Numeric range searches only work with positive integers. A numeric range search includes the upper and lower bounds (so 12 and 17 would be retrieved in the above example).

For purposes of numeric range searching, decimal points and commas are treated as spaces and minus signs are ignored. For example, `-123,456.78` would be interpreted as: `123 456 78` (three numbers).
Variable Term Weighting

When the search facility sorts results after a search, by default all words in a request count equally in counting hits. However, you can change this by specifying the relative weights for each term in your search request, like this:

apple:5 and pear:1

This request would retrieve the same documents as apple and pear but, the search facility would weight apple five times as heavily as pear when sorting the results.
Field Searching

When the search facility indexes publications, it saves certain information so that you can perform searches limited to a particular field. For example, suppose the database has a NAME field and a DESCRIPTION field. You could search for apple in the NAME field like this:

NAME contains apple

Field searches can be combined using and, or, and not, like this:

(CITY contains (boston or york)) and (ADDRESS contains (washington))

The parentheses are necessary to ensure that the search facility interprets the search request correctly.
IP.com Prior Art Database Field Names

The IP.com Prior Art Database contains some information that may be utilized using field searching. Note that the inclusion of these fields and the quality of the information they contain is decided by the submitter of the document, not by IP.com. The fields are as follows:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>TITLE</td>
<td>Generally, submitters provide descriptive titles for documents.</td>
</tr>
<tr>
<td>ABSTRACT</td>
<td>Most, but not all, documents have useful abstracts.</td>
</tr>
<tr>
<td>COPYRIGHT</td>
<td>Some documents have copyright information; the format of the information is unpredictable.</td>
</tr>
<tr>
<td>RELATED_PEOPLE</td>
<td>This field includes all information regarding each related person. A related person, for example, could be the author or inventor of the disclosure. Some documents contain related people information.</td>
</tr>
<tr>
<td>RELATED_DOCUMENTS</td>
<td>Includes all information regarding each related document. A related document may include a patent application number for example. Few documents contain related document information.</td>
</tr>
</tbody>
</table>
The ORIGINAL_DATE field contains month and year information in the form YYYYMM (YYYY is the year, MM is the month). This is the month and year the document originally appeared in print if it was not originally published using the Prior Art Database or it repeats the Prior Art Database month and year of publication. The format allows the use of the `~~` numeric range operator.

For example, to search for all documents from the year 2004 which contain the term IBM, use the query: IBM and (original_date contains 200401~~200412)

The country of origin field (PUB_COUNTRY) contains the ISO-3166 two-letter code for the country as supplied by the submitter.

For example, pub_country contains JP would return any documents where the country of origin is Japan.

The publication language field contains the ISO-639 two-letter language code, plus an optional territory selection, as assigned by the submitter. When searching, the territory selection can further filter results. The following two queries will return different results, the first returning any where English is the language, regardless of any territory, while the second would return only those disclosures which explicitly specified English (United Kingdom) as the language:

pub_language contains en (English, all territories) pub_language contains en_GB (English, United Kingdom only)
The AND Connector

Use the **AND** connector in a search request to connect two expressions, both of which must be found in any document retrieved. For example:

- **apple pie and poached pear**
  - Retrieve any document that contains both phrases

- **(apple or banana) and (pear w/5 grape)**
  - Retrieves any document that (1) contains either apple or banana and (2) contains pear within 5 words of grape

The OR Connector

Use the **OR** connector in a search request to connect two expressions, at least one of which must be found in any document retrieved. For example:

- **apple pie or poached pear**
  - Retrieves any document that contains apple pie, poached pear, or both
The W/# Connector

Use the W/# connector in a search request to specify that one word or phrase must occur within some number of words or the other. For example:

apple w/5 pear  Retrieves any document that contains apple within 5 words of pear

In general, at least one of the two expressions connected by W/# must be a single word or phrase or a group of words and phrases connected by OR. Examples:

(apple and banana) w/10 pear

(apple and banana) w/10 (pear or grape)

(apple and banana) w/10 (pear or grape or orange)

Complex expressions using the W/# connector can produce ambiguous results or invalid queries. Review your query construction carefully.
The NOT and NOT W/# Connectors

You may use NOT in front of any search expression to reverse its meaning. This allows you to exclude documents from a search. Example:

apple sauce and not pear

NOT standing alone can be the start of a search request. For example, the following would retrieve all documents that did not contain pear:

not pear

If NOT is not the first connector in a request, you need to use either AND or OR with NOT:

apple or not pear
not (apple w/5 pear)

The NOT W/ (“not within”) operator allows you to search for a word or phrase not in association with another word or phrase. Example:

apple not w/20 pear

Unlike the W/ operator, NOT W/ is not symmetrical. That is, apple not w/20 pear is not the same as pear not w/20 apple. In the apple not w/20 pear request, the facility searches for apple and excludes cases where apple is too close to pear. In the pear not w/20 apple request, the facility searches for pear and excludes cases where pear is too close to apple.
Non-English Language Searching

As noted earlier, the search facility natively operates in English. However, searching for any language is fully enabled. Simply enter the non-English words (containing the appropriate characters) as you normally would. It is therefore possible to search for a German-titled document like this:

title contains (ortsabhängige w/5 teilnehmerverfügbarkeit)

To fully utilize the capabilities of the search facilities, note that the individual characters in all Eastern language (Chinese, Korean, and Japanese) documents are indexed as individual words.

Credits
This document was adopted from the dtSearch Version 6 User’s Manual, Chapter 6 “Search Requests.” dtSearch’s Text Retrieval Engine powers IP.com’s online full-text search facility.

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